

REQUEST LETTER FOR ELECTRONIC COMMUNICATION (ECN)

("This document is required to be executed by the client in order to avail the facilities of seamless trading platform with integrated demat and broking accounts and to meet the requirements of SEBI cir no MIRSD/ SE/Cir-19/2009 dated 03.12.2009")

To, _____
M/s. Zen Securities Limited

Date : _____

GEV Tulasi, 3rd Floor, Plot No. 151, 152 & 153, Pragathi Nagar, Hyd - 90.

Dear Sir,

Sub: Request to Zen Securities Limited (herein after referred to as ZSL) for considering my /our e-mail id _____ for sending to me/us the contract note(s)/ bills, margin statements, ledgers, reports, letters, circulars, notices, statement of account for funds and securities, monthly / quarterly DP transaction statement, Holding statement.

Ref: My/our Client Code No. _____

As you aware, I / we had entered into a stock Broker- Client Agreement with you and submitted Client registration form to you for commencing the dealings through your trading platform. It has been informed to me / us that there is a facility for receiving the communications, viz., contract notes, bills, ledgers, transaction statements, reports letters, circulars, notices, statement of accounts for funds / securities, margin statements, monthly / quarterly DP transaction statement, Holding statement electronically sent to my/our personalized email-id account. I/We therefore express my/our desire and request ZSL to send all such communications electronically to my/our email id. I/we hereby agree to the following terms and conditions and undertake to complete the necessary formalities as advised by ZSL from time to time.

1) I / we hereby agree to complete the necessary formalities required to comply with the provisions of the information Technology Act, 2000 and the procedure prescribed by SEBI for receiving the electronic contract notes, bills, ledgers, reports, letters, circulars, notices, statement of accounts for funds / securities, margin statements, monthly / quarterly DP transaction statement, Holding statement etc. in respect of trades / transactions done through the trading platform of ZSL.

2. I/We shall provide my/our personalized and valid email id to ZSL as mentioned above for receiving Electronic Contract Notes, bills, ledgers, reports letters, circulars, notices, margin statements, statement of accounts for funds/securities, margin statements, monthly / quarterly DP transaction statement, Holding statement etc. in electronic form from time to time sent by ZSL in respect of the trades/ transactions that have been executed for me/us through the trading platform of the ZSL.

3) I / we agree that I / we will verify my/our e-mail in my/our internet for accessing electronic contract notes, bills, ledgers, reports, letters, circulars, notices, statement of accounts for funds / securities, margin statements, monthly / quarterly DP transaction statement, Holding statement etc. in respect of trades / transactions done through the trading platform of ZSL sent to me/us by ZSL from time to time. The Electronic Contract Notes (ECNs) and all other reports /statements as mentioned above will be deemed to be delivered to the mail box of my/our e-mail id and I / we accept the same as delivery of the contract notes, reports statements as mentioned above to me/us.

4). I / we understand that it is my/our responsibility to view, review, upon receipt, contract notes, statement of accounts for funds/ securities, margin statements monthly / quarterly DP transaction statement, Holding statement and other communications. All the information contained therein shall be binding on me/us, if I/We does not object, either in writing or via electronic mail within 24 hours after any such documents are available to me/us. Further, it is my/our responsibility to save /print/download the contract notes and other statements for archiving.

5. I/We understand that in case where the ZSL is not able to send Electronic Contract Note/s and other documents to me / us through Internet due to any technical /unforeseen problem or bounced mail, the ZSL shall ensure that the contract note/ other statements are sent to my/our address as mentioned in the KYC in the physical form as per the time schedule stipulated in Bye-laws, Rules and Regulations of the stock exchange/SEBI.

6. I / We shall be held responsible for unauthorized access of my / our e-mail id and for subsequent tampering of the Electronic Contract Notes, bills, ledgers, reports, letters, circulars, notices, statement of accounts for funds /Securities, margin statements, monthly / quarterly DP transaction statement, Holding statement etc. sent by the ZSL to my/our e-mail id from time to time.

7. I/we agree that the ZSL deemed to have fulfilled its legal obligation to deliver to me / us any such document sent via electronic delivery mode.

8. I / We undertake to keep the said e-mail id valid and that the ZSL will not be responsible for non-receipt of said documents sent via electronic delivery mode due to bounced mail in view of change in my / our e-mail address or otherwise and agree that the ZSL shall not take cognizance of out-of-office / out-of station auto replies and I /we am/are deemed to have received such electronic mails sent to my/our e-mail address as provided by me/us. I/we am/are aware that the copies of such contract notes and other documents are also available in the website of ZSL (www.zenmoney.com) and I/we was/were provided with specific user id and password. I / we agree and undertake to communicate to ZSL in writing any change in e-mail id immediately

9) In case if I/we wish to withdraw my/our request as mentioned above I/we agree to inform ZSL in writing at least one week in advance from the date of withdrawal.

10) I /we understand that the above terms and conditions are in addition to and not in contravention of the terms and conditions forming part of the "Agreement between stock Broker and Client" signed by me / us.

Please consider my/our request/s and do the needful.

Client Signature : 

