

**RISK MANAGEMENT SYSTEM – (applicable for Cash Segment only)**

We have withdrawn all the NEAT trading terminals and installed CTCL terminals for better control & Risk management at all places. This CTCL set up gives us client level control. The customer gets limits commensurate with the credit availability in his/her/their account. Extra limits will be given based on the client's track record and/or securities balances available with us. Every order is routed invariably through the CTCL system.

We are collecting the cheques on T+2 day and if the obligation (settlement obligation as we do not collect margins) is not paid by the client on T+2 day then the branch will square off the positions of all such clients to the extent of debits normally on or before T+5.

We square off the pending positions of the client placed as intra-day orders, half an hour before closure of trading, if the client does not intimate and arrange for requisite obligation amount. In case the client does not meet the securities obligation within the prescribed time then the exchange procedure is followed.