

(to be used by the investors who have filled the old KYC forms prior to version NSDL version 2.2/Dec/2012/nsdl or CDSL version 1.2/December/2012)

ZEN SECURITIES LIMITED

ADDENDUM TO BE FURNISHED BY THE CLIENT WHO WISHES TO TRADE IN MCX-SX/ CD SEGMENT OF NSE

Zen Securities Limited
6-3-788/32, 3rd Floor, Vamsee Estates,
Ameerpet - 500016

Sub: Modification in the Common details/ additional details mentioned in the KYC

Ref: Client Code _____ ; Client Id & DP Id _____

I / We _____ registered with you as a client and wish to modify/add Trading Preference mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time thereafter.

1. Trading Preference: I/we wish to trade in the following exchange/segments

Sl.No.	Exchange	Segment	Signature/s
1	MCX-Sx	Equity	X
2	MCX-Sx	Futures & Options	X
3	Mcx-Sx	Currency Derivatives	X
4	NSE	Currency Derivatives	X

This letter is in addition to the authorization/preference given earlier by me/us to you modifying my trading preferences.

Note: the clients who wish to trade in derivative products need to furnish any one of the following 8 documents

Copy of ITR Acknowledgement	Copy of Annual Accounts	In case of Salary income, Salary slip, Copy of Form 16	Net worth certificate
Copy of Demat Account holding statement	Bank Account statement for last months	Any other relevant documents substantiating ownership of Assets	Self declaration with relevant supporting documents

2. Business Policy: In order to enable the client to trade in derivative product services offered by ZSL in the exchanges namely, NSE, BSE and MCX-Sx, the following "Business Policy " may be read and signed as token of acceptance. (Voluntary document)I/We am/are aware that derivative products will increase my / our exposure thereby increasing my/our risk. I/we am/are agreeable to your terms of Derivative segment/s business policy of ZSL in NSE / BSE / MCX-SX.

I/We agree that:

- I/We transfer funds through online internet banking or through NEFT/ RTGS facility or through online banks like ICICI BANK, HDFC BANK, Karur Vysya BANK, ING VVSYA BANK & AXIS BANK, etc.
- ZSL will give me/us maximum gross exposure limit of Rs.1 Crore at any point of time. If the exposure increases to more than Rs.1 Crore due to market appreciation I/We will necessarily calibrate down the exposure to Rs.1 crore at the time of rolling over.
- The Initial margin payable to the NSE/BSE/MCX-SX exchange/s under F&O Segment has to be paid in full. The initial margin payable under currency segment will be 7% or as levied by exchange whichever is higher.
- The MTM loss on any trade day is to be paid by the same day evening by 6.30 p.m. failing which ZSL may reduce my/our positions to the extent of MTM loss by squaring up on the next day.
- Any penalty amount levied by exchange/s for non-availability/shortage of funds and /or collaterals for meeting exchange/s margin requirements of my/our Account will be debited to my/our ledger account
- For effective communication, I/We have opted for digital/electronic contract note. I/We am/are also providing my/our mobile declaration so that ZSL can communicate directly or SMS to me.
- I/we request ZSL to permit me to do business in Futures and Options, currency derivatives segment/s of NSE/BSE / MCX-SX.

Sign of Sole/First holder*
X

3. Authorisation to place Orders by Authorised person: I/we authorise Mr/Ms/Mrs _____ 1) to do business ,to give instructions orally or in writing, to place, modify, cancel orders to buy and/or sell Securities in Equity, futures & options and currency derivative segments of NSE/BSE/MCX-Sx, MF, SIP, IPOs, FPO etc., I/we request you to kindly accept my/our Authorised Person's verbal/ in-person/ over phone orders/instructions and execute the same. I/we understand the risk associated with placement of verbal orders. I/we shall not disown such orders with the plea that the same was not placed by me/us. I/we shall indemnify ZSL and its employees and keep you and your employees indemnified against all losses, damages, actions, which you may suffer or face as a consequence of adhering to and carrying my/our orders placed verbally as mentioned above; 2) to receive and/or deliver cheques, DDs, Deliveries, documents, contract notes, statement of account for funds and securities, from/to your organisation and to acknowledge wherever required. The signature of Authorised Person is attested below.(voluntary document)

Name of Authorised Person	Authorised Person's Signature

Sign of Sole/First holder*
X

<i>Sign of Authorised person across the Photo</i>

4. Additional Details

For HUF, Association of Persons(AOP), Partnership Firm, Unregistered Trust, etc. although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons(AOP), Partnership Firm, Unregistered Trust, etc. should be mentioned below.

a)Name	b)PAN

5. Identity Details

Nationality	Indian/Others (please specify.....)
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6. Please tick if applicable

Politically Exposed Person(PEP)	Related to Politically Exposed Person(PEP)

7. Tariff/Transaction Charges:I/We understand that the brokerage charged to me in the new segment/ new exchange/ respective segment is specified below:

Segment	Delivery (in %)	Delivery – min. (in Rs.)	Intraday (in %)	Intraday – min. (in Rs.)
Equity-Mcx-Sx				
Futures&Options-Mcx-Sx				
Currency Derivatives-Mc-Sx				
Currency Derivatives-NSE				

For Below par scrips/ Z group/ T2T scrips I/we am/are agreeable to pay a brokerage of 0.75 % subject to delivery minimum brokerage mentioned above. I/we understand that if no tariff is mentioned by me/us then the tariff applicable to me/us in NSE/ BSE is levied.

For the transaction/s executed by me/us in MCX-Sx/NSE, I/We undertake to pay transaction charges as levied by the Mcx-Sx/ NSE, and also pay other statutory and non-statutory charges at the rate as applicable to me in cash/fao segments of NSE/BSE transactions as an existing client and I/we request you to extend the same terms for the transactions in MCX-Sx/ NSE and I/we agree for the same.

8. Other Charges: I/we agree to pay charges, if any, for SMS service provided by ZSL. I/we authorise ZSL to debit demat charges, DP AMC charges etc, as applicable, to my/our ledger account.

9. ECN facility: I/we authorise you to extend validity of ECN facility provided by ZSL in the transactions of MCX-Sx/NSE also as applicable in cash/fao segments of NSE/BSE as an existing client.

10.Acknowledgement: I/We further confirm having received copies of 'Rights and Obligations' document and 'Risk Disclosure Document' and Guidance Note' which are annexures to the SEBI circular dated 22.8.2011 and confirm that I/we have read and understood the contents of the same'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on ZSL's designated website.

11. Therefore I/we request you to provide trading facilities in the preferred segments In MCX-Sx and oblige.

12. Signature/s*

	Name	Signature
Sole/First Holder/Guardian (in case Sole Holder is Minor) Mr/Ms		X
Second Holder Mr/Ms		X
Third Holder Mr/Ms		X

Enclosed :POA format to be franked with Rs 100/-

